Created in COMSOL Multiphysics 6.1



Bow-Tie Laser Cavity

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# Introduction

Lasers are ubiquitous in application areas such as cutting, ablation, telecommunication, and spectroscopy, among others. Typically, lasers are produced by a *laser cavity* or *optical cavity* containing a set of mirrors, a gain medium, and possibly some other optical components such as prisms or lenses.

Stability analysis of the laser cavity ensures that light remains confined in the cavity, allowing the laser to operate reliably. If the laser cavity is not stable, laser production may abruptly stop as light escapes from the cavity into the surroundings. The stability of the laser cavity can be analyzed by the standard ABCD matrix analysis based on the paraxial approximation, or alternatively by geometrical optics simulation.

This is a model of a symmetric bow-tie laser cavity consisting of two flat mirrors and two spherical mirrors. A single ray is released from the surface of one of the flat mirrors, initially with a very small angle relative to the surface normal. Then the ray is traced for a predefined time period that is sufficiently long for many reflections to occur. Ray tracing continues until the predefined computation time has passed if the laser cavity is stable, whereas the time-dependent study terminates earlier if the ray escapes from the cavity. A **Parametric Sweep** demonstrates the effect of cavity length on stability and compares the result with the ABCD matrix theory.

# Model Definition

The laser cavity consists of two identical flat end mirrors and two identical spherical mirrors. The spherical mirrors have surface radii of curvature R = 0.5 m. Each flat mirror is a distance  $L_1 = 0.1$  m from the symmetry plane. Each spherical mirror is a distance  $L_2$  from the symmetry plane; this half-distance is increased from 0.02 m to 0.6 m using a **Parametric Sweep**. The end mirror surfaces are also tilted at a small angle  $\theta$  (SI unit: rad) relative to the symmetry axis. The cavity geometry is illustrated in Figure 1.

A single ray is released from the center of one of the flat mirrors, at a very small angle to the surface normal. The ray is traced for a predefined total computation time,  $T_0$  (SI unit: s), which is sufficient for it to be reflected a large number of times, at least several hundred reflections for the largest value of  $L_2$ .

The **Ray Termination** feature is used to end the time-dependent study early if the ray gets out of the cavity; in that case, the last computation time,  $T_1$  (SI unit: s) is stored. The cavity stability is represented by the ratio  $T_1/T_0$ , with a value of 1 indicating that the ray is still inside the cavity and the configuration is stable.



Figure 1: Optical layout of a laser cavity in a symmetric bow-tie configuration.

# ABCD MATRIX THEORY

The result of the ray tracing analysis can be compared to an analytic solution based on ABCD matrix theory, as long as the paraxial approximation holds. In ABCD matrix theory, while following Hecht's notation (Ref. 1), a ray is characterized by the ray angle  $\theta$  (SI unit: rad) and the ray position *y* (SI unit: m) relative to the optical axis in a 2-by-1 column vector as

 $egin{array}{c} \theta \\ y \end{array}$ 

Elements of the optical system are represented as 2-by-2 matrices that are multiplied by this vector. Propagation through a distance L is denoted by the matrix

 $egin{array}{c} 1 & 0 \ L & 1 \end{array}$ 

Reflection at a mirror with the radius of curvature R in the air by

-1	$\frac{2}{R}$
0	1

After one round trip of propagation, in which the ray is reflected by every mirror once and returns to its initial position, the new angle  $\theta$  and position *y* of the ray can be described by the matrix product of each propagation or reflection in the sequence, multiplied by the initial angle  $\theta_0$  and position  $y_0$ ,

$$\begin{bmatrix} \theta \\ y \end{bmatrix} = \mathbf{T} \begin{bmatrix} \theta_0 \\ y_0 \end{bmatrix}$$

where T is the product of eight 2-by-2 matrices, corresponding to the four reflections and four propagation distances. According to Kogelnik's stability theory (Ref. 2), the system is stable if the initial angle and position give bounded values when multiplied by an arbitrarily high power of the matrix T; this stability criterion can also be written as

$$-1 \leq \frac{1}{2} Tr(T) \leq 1$$

where Tr stands for the trace of a matrix. For the parameter values used in this model, the stable values of  $L_2$  satisfy the inequality

$$-1 \le 2048L_2^4 - 1638.4L_2^3 + 353.28L_2^2 - 10.24L_2 - 0.92 \le 1 \tag{1}$$

With  $L_2$  in meters. The inequality is satisfied for  $0 \le L_2 \le 0.15$  and for  $0.25 \le L_2 \le 0.455$ .

## Results and Discussion

Figure 2 shows the ray propagation when the half-distance between the flat mirrors is  $L_2 = 0.12$  m. The ray remains confined inside the cavity for the full duration of the study. If the total computation time is sufficiently long, the result means the cavity is stable for this particular parameter value.

Figure 3 is a 1D plot of the stability versus the mirror half-distance. It shows good agreement with the values  $0 \le L_2 \le 0.15$  and for  $0.25 \le L_2 \le 0.455$  which were found to satisfy Equation 1 from the ABCD matrix analysis in the previous section. The slight difference in the results can be attributed to the mirror tilt, which is considered in the ray optics simulation but ignored in the ABCD matrix theory. The ray tracing simulation gives a higher-fidelity result because it does not rely on the paraxial approximation.



Figure 2: Ray tracing result for L = 0.1 m. The ray is confined in the cavity after the total computation time  $T0 = 1 \ \mu s$ .



Figure 3: Stability plot as a function of the cavity length showing a good agreement with the ABCD matrix theory.

# References

1. E. Hecht, Optics, 4th ed., Addison-Wesley, 1998.

2. H. Kogelnik and T. Li, "Laser beams and resonators," *Applied Optics*, vol. 5, no. 10, pp. 1550–1567, 1966.

**Application Library path:** Ray\_Optics\_Module/Laser\_Cavities/ bow\_tie\_laser\_cavity

# Modeling Instructions

From the File menu, choose New.

## N E W

In the New window, click 🔗 Model Wizard.

## MODEL WIZARD

- I In the Model Wizard window, click 间 3D.
- 2 In the Select Physics tree, select Optics>Ray Optics>Geometrical Optics (gop).
- 3 Click Add.
- 4 Click  $\bigcirc$  Study.
- 5 In the Select Study tree, select Preset Studies for Selected Physics Interfaces>Ray Tracing.
- 6 Click 🗹 Done.

### GLOBAL DEFINITIONS

Parameters 1

Load the global parameters for the laser cavity from a text file.

- I In the Model Builder window, under Global Definitions click Parameters I.
- 2 In the Settings window for Parameters, locate the Parameters section.
- **3** Click **b** Load from File.
- **4** Browse to the model's Application Libraries folder and double-click the file bow\_tie\_laser\_cavity\_parameters.txt.

## DEFINITIONS

Define some functions that will be used to compare the ray tracing results to ABCD matrix theory during postprocessing.

Analytic I (an I)

- I In the Home toolbar, click f(X) Functions and choose Global>Analytic.
- 2 In the Settings window for Analytic, locate the Definition section.
- **3** In the **Expression** text field, type 0.04\*(51200\*x^4-40960\*x^3+8832\*x^2-256\*x-23).
- 4 Locate the Plot Parameters section. In the table, enter the following settings:

Argument	Lower limit	Upper limit	Unit
x	0	0.6	

This analytic function is the expression from the left-hand side of Equation 1. It is not used later in this model, but you can click the **Plot** button and use the resulting plot to estimate the regions of stability and points of marginal stability.

Rectangle 1 (rect1)

- I In the Home toolbar, click f(x) Functions and choose Global>Rectangle.
- 2 In the Settings window for Rectangle, locate the Parameters section.
- **3** In the **Lower limit** text field, type **0**.
- **4** In the **Upper limit** text field, type **0.15**.
- **5** Click to expand the **Smoothing** section. In the **Size of transition zone** text field, type 0.001.

### Rectangle 2 (rect2)

- I Right-click Rectangle I (rect I) and choose Duplicate.
- 2 In the Settings window for Rectangle, locate the Parameters section.
- 3 In the Lower limit text field, type 0.25.
- 4 In the Upper limit text field, type 0.455.

## Analytic 2 (an2)

- I In the Home toolbar, click f(X) Functions and choose Global>Analytic.
- 2 In the Settings window for Analytic, locate the Definition section.
- 3 In the Expression text field, type rect1(x)+rect2(x).

4 Locate the Plot Parameters section. In the table, enter the following settings:

Argument	Lower limit	Upper limit	Unit
x	0	0.6	

## GEOMETRY I

Create the laser cavity geometry. The flat mirrors are cylinders. The spherical mirrors are based on a part from the Part Library.

Cylinder I (cyl1)

- I In the Geometry toolbar, click 🔲 Cylinder.
- 2 In the Settings window for Cylinder, locate the Size and Shape section.
- **3** In the **Radius** text field, type D\_FM/2.
- 4 In the **Height** text field, type L\_FM.
- 5 Locate the Position section. In the x text field, type X\_FM.
- 6 In the y text field, type Y\_FM.
- 7 In the z text field, type Z\_FM.
- 8 Locate the Axis section. From the Axis type list, choose Spherical.
- 9 In the **theta** text field, type 180+th.
- 10 Click 틤 Build Selected.

## PART LIBRARIES

- I In the Geometry toolbar, click A Parts and choose Part Libraries.
- 2 In the Model Builder window, click Geometry I.
- **3** In the **Part Libraries** window, select **Ray Optics Module>3D>Mirrors>spherical\_mirror\_3d** in the tree.
- **4** Click  **Add to Geometry**.
- 5 In the Select Part Variant dialog box, select Specify clear aperture diameter in the Select part variant list.
- 6 Click OK.

### GEOMETRY I

#### Spherical Mirror 3D 1 (pil)

I In the Model Builder window, under Component I (compl)>Geometry I click Spherical Mirror 3D I (pil).

2 In the Settings window for Part Instance, locate the Input Parameters section.

Name	Expression	Value	Description
R	- R_SM	-0.5 m	Radius of curvature (+convex/- concave)
Тс	10[mm]	0.01 m	Center thickness
d0	D_SM	0.0125 m	Mirror full diameter
dl	D_SM	0.0125 m	Mirror surface diameter
d_clear	D_SM	0.0125 m	Clear aperture diameter
d_hole	0	0 m	Center hole diameter
nix	<pre>sin(RY_SM)</pre>	0.087156	Local optical axis, x-component
niy	0	0	Local optical axis, y-component
niz	cos(RY_SM)	0.99619	Local optical axis, z-component
n_extra_r	0	0	Number of extra radial points
n_extra_a	0	0	Number of extra azimuthal points

**3** In the table, enter the following settings:

- 4 Locate the Position and Orientation of Output section. Find the Displacement subsection. In the xw text field, type X\_SM.
- 5 In the yw text field, type Y\_SM.
- 6 In the zw text field, type Z\_SM.
- 7 Click 🟢 Build All Objects.

Reflect each mirror across the *xy*-plane to complete the cavity geometry.

### Mirror I (mir I)

- I In the Geometry toolbar, click 💭 Transforms and choose Mirror.
- 2 Click in the Graphics window and then press Ctrl+A to select both objects.
- 3 In the Settings window for Mirror, locate the Input section.
- 4 Select the Keep input objects check box.
- 5 Click 🟢 Build All Objects.
- 6 In the Graphics window toolbar, click ▼ next to ↓ Go to Default View, then choose Go to ZX View. Compare the resulting geometry to Figure 1.

## **GEOMETRICAL OPTICS (GOP)**

I In the Model Builder window, under Component I (compl) click Geometrical Optics (gop).

- 2 In the Settings window for Geometrical Optics, locate the Domain Selection section.
- 3 Click Clear Selection. The mirror domains can be excluded because rays never actually pass through them in this model.
- 4 Locate the Ray Release and Propagation section. In the Maximum number of secondary rays text field, type 0.

### Ray Properties 1

- I In the Model Builder window, under Component I (compl)>Geometrical Optics (gop) click Ray Properties I.
- 2 In the Settings window for Ray Properties, locate the Ray Properties section.

**3** In the  $\lambda_0$  text field, type w1.

#### Mirror I

- I In the Physics toolbar, click 📄 Boundaries and choose Mirror.
- **2** Select Boundaries 7, 8, 15, and 18 only; that is, select all of the boundaries facing the inside of the cavity.

Add a **Release from Grid** node to release one ray from the flat mirror surface at the predefined initial ray angle with respect to the normal.

Release from Grid 1

- I In the Physics toolbar, click 🖗 Global and choose Release from Grid.
- 2 In the Settings window for Release from Grid, locate the Initial Coordinates section.
- **3** In the  $q_{x,0}$  text field, type X\_FM.
- **4** In the  $q_{y,0}$  text field, type Y\_FM.
- **5** In the  $q_{z,0}$  text field, type Z\_FM.
- ${\bf 6}\,$  Locate the Ray Direction Vector section. Specify the  $L_0$  vector as

sin(th+dth) x 0 y

cos(th+dth) z

Ray Termination 1

- I In the Physics toolbar, click 💥 Global and choose Ray Termination.
- 2 In the Settings window for Ray Termination, locate the Termination Criteria section.
- 3 From the Spatial extents of ray propagation list, choose Bounding box, from geometry.

## MESH I

Adjust the default mesh to improve the resolution of the curved mirror surfaces.

- I In the Model Builder window, under Component I (compl) click Mesh I.
- 2 In the Settings window for Mesh, locate the Physics-Controlled Mesh section.
- 3 From the Element size list, choose Finer.
- 4 Locate the Sequence Type section. From the list, choose User-controlled mesh.

## Size

- I In the Model Builder window, under Component I (compl)>Mesh I click Size.
- 2 In the Settings window for Size, click to expand the Element Size Parameters section.
- 3 In the Maximum element size text field, type D\_SM/20.
- 4 In the Minimum element size text field, type D\_SM/40.
- 5 Click 📗 Build All.

### STUDY I

Step 1: Ray Tracing

Add a **Stop condition** to end the simulation when the ray gets out of the cavity.

- I In the Model Builder window, under Study I click Step I: Ray Tracing.
- 2 In the Settings window for Ray Tracing, locate the Study Settings section.
- **3** In the **Output times** text field, type range(0,dt,T0).
- 4 From the Stop condition list, choose No active rays remaining.

Add a **Parametric Sweep** to vary the spherical mirror half distance to see the effect on the stability. Instead of entering uniform length intervals, use smaller increments close to the marginally stable regions predicted by Equation 1.

### Parametric Sweep

- I In the Study toolbar, click **Parametric Sweep**.
- 2 In the Settings window for Parametric Sweep, locate the Study Settings section.
- 3 Click + Add.

4 In the table, enter the following settings:

Parameter name	Parameter value list	Parameter unit
L2 (Spherical mirror half distance)	range $(0.02, 0.02, 0.14)$ range $(0.142, 0.002, 0.152)$ range $(0.16, 0.02, 0.24)$ range $(0.242, 0.002, 0.252)$ range $(0.26, 0.02, 0.44)$ range $(0.442, 0.002, 0.452)$ range $(0.46, 0.02, 0.6)$	m

**5** In the **Study** toolbar, click **= Compute**.

### RESULTS

Ray Trajectories (gop)

- I In the Settings window for 3D Plot Group, locate the Data section.
- 2 From the Parameter value (L2) list, choose 0.12.
- 3 From the Time list, choose 1000 ns.

Surface 1

- I Right-click Ray Trajectories (gop) and choose Surface.
- 2 In the Settings window for Surface, locate the Coloring and Style section.
- **3** From the **Coloring** list, choose **Uniform**.
- 4 From the Color list, choose Cyan.

### Ray Trajectories 1

- I In the Model Builder window, click Ray Trajectories I.
- 2 In the Settings window for Ray Trajectories, locate the Extra Time Steps section.
- **3** From the **Maximum number of extra time steps rendered** list, choose **All**. This ensures that the ray path is rendered correctly even for the smallest distance between the mirrors, when there are several thousand reflections.
- 4 In the Ray Trajectories (gop) toolbar, click 💽 Plot.

Rotate and zoom in as needed. For values of  $L_2$  that satisfy the stability criterion (Equation 1), the plot should look like Figure 2. Otherwise, the ray eventually escapes from the cavity.

ID Plot Group 2

- I In the Home toolbar, click 🚛 Add Plot Group and choose ID Plot Group.
- 2 In the Settings window for ID Plot Group, locate the Data section.

- 3 From the Dataset list, choose Ray I.
- 4 From the Time selection list, choose Last.
- 5 Locate the Plot Settings section.
- 6 Select the y-axis label check box. In the associated text field, type Stability.
- 7 Click to expand the Title section. From the Title type list, choose Manual.
- 8 In the Title text area, type Laser Cavity Stability Analysis.
- 9 Locate the Legend section. From the Position list, choose Middle left.

Global I

- I Right-click ID Plot Group 2 and choose Global.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

Expression	Unit	Description
t/T0	1	Ray tracing

- 4 Locate the x-Axis Data section. From the Axis source data list, choose All solutions.
- 5 From the Parameter list, choose Expression.
- **6** In the **Expression** text field, type L2.
- 7 Click to expand the **Coloring and Style** section. Find the **Line style** subsection. From the **Line** list, choose **None**.
- 8 From the Color list, choose Blue.
- 9 Find the Line markers subsection. From the Marker list, choose Diamond.
- 10 Click to expand the Legends section. From the Legends list, choose Manual.

**II** In the table, enter the following settings:

#### Legends

Ray tracing

Global 2

- I In the Model Builder window, right-click ID Plot Group 2 and choose Global.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

Expression	Unit	Description
an2(L2)	1	ABCD theory

- 4 Locate the x-Axis Data section. From the Axis source data list, choose All solutions.
- **5** From the **Parameter** list, choose **Expression**.
- **6** In the **Expression** text field, type L2.
- 7 Locate the Coloring and Style section. Find the Line style subsection. From the Line list, choose Dashed.
- 8 From the Color list, choose Red.
- 9 Locate the Legends section. From the Legends list, choose Manual.
- **IO** In the table, enter the following settings:

# Legends

ABCD theory

II In the ID Plot Group 2 toolbar, click 💿 Plot. The plot should look like Figure 3.