

# Parameter Estimation of a Time-Dependent Lumped Battery Model

# Introduction

This tutorial uses a "black-box" approach to define a battery model based on a small set of lumped parameters, requiring no knowledge of the internal structure or design of the battery electrodes, or choice of materials. The inputs to the model are the battery capacity, the initial state-of-charge (SOC), and an open circuit voltage versus SOC curve, in combination with load cycle experimental data.

Parameter estimation of the lumped parameters is achieved using the Optimization interface.

# Model Definition

The model could be seen as a lumped version of a single particle model, modeling the transport of intercalated lithium in one of the electrodes. This simplification can be motivated as long as the battery is mainly governed by the diffusion process in one of the electrodes only. The single particle modeling approach is exemplified in the Application Library example Single Particle Model of a Lithium-Ion Battery.

This model uses the Lumped Battery interface and calculates the battery cell voltage  $E_{cell}$  (V) subject to an applied time-dependent cell current  $I_{cell}$  (A). The parameters used in the model are described in Table 1. Additionally, the model requires the battery open circuit voltage data,  $E_{OCV}$  (V), as function of state-of-charge.

PARAMETER	UNIT	DESCRIPTION	
$Q_{\mathrm{cell},0}$	A·h	Battery capacity	
$SOC_0$	1	Initial state-of-charge	
$\eta_{\rm IR, \ 1C}$	V	Ohmic overpotential at 1C	
$J_0$	1	Dimensionless charge exchange current	
τ	s	Diffusion time constant	

TABLE	1:	MODEL	PARA	METERS

#### POTENTIAL LOSSES DUE TO OHMIC AND CHARGE TRANSFER PROCESSES

The lumped voltage loss associated with ohmic process in the electrolyte and electrodes is given as,

$$\eta_{\rm IR} = \eta_{\rm IR,1C} \frac{I_{\rm cell}}{I_{\rm 1C}}$$

where  $\eta_{\text{IR},1\text{C}}$  (V) is the ohmic overpotential at 1C,  $I_{\text{cell}}$  is the applied current, and the 1C current,  $I_{1\text{C}}$  (A), is defined as,

$$I_{1C} = \frac{Q_{\text{cell},0}}{3600 \text{ s}}$$

where  $Q_{\text{cell},0}(C)$  is the battery cell capacity.

The dimensionless charge exchange current  $J_0$  is used to define the lumped voltage loss associated with the charge transfer reactions (activation overpotential) on both the positive and negative electrode surfaces as

$$\eta_{\text{act}} = \frac{2RT}{F} \operatorname{asinh}\left(\frac{I_{\text{cell}}}{2J_0 I_{1\text{C}}}\right)$$

where R denotes the molar gas constant, T the temperature, and F Faraday's constant.

## POTENTIAL LOSS DUE TO DIFFUSION PROCESSES

Concentration overpotential effects can be accounted for in the Lumped Battery interface either based on diffusion in an idealized particle or by using an RC pair (a linear resistor coupled in parallel with a capacitor). In this model, particle diffusion is considered. In this case, Fickian diffusion of a dimensionless SOC variable is solved for in a 1D pseudo extra dimension corresponding to the particle dimension of length 1 with X as the dimensionless spatial variable, using spherical symmetry (for spherical particles), according to

$$\tau \frac{\partial \text{SOC}}{\partial t} = -\nabla \cdot (-\nabla \text{SOC})$$

where  $\tau$  (s) is the diffusion time constant. The interval represents an average particle of the electrode governing the battery, where X = 0 and X = 1 represent the center and surface of the particle, respectively.

The boundary conditions at the center and surface of the particle are as follows:

$$\nabla \text{SOC} = 0 \big|_{X = 0}$$
$$\nabla \text{SOC} = \frac{\tau I_{\text{cell}}}{N_{\text{shape}} Q_{\text{cell},0}} \Big|_{X = 1}$$

where  $N_{\text{shape}}$  is 3 for spherical particles. The initial cell state-of-charge is specified by SOC<sub>0</sub>. The surface state-of-charge, SOC<sub>surface</sub>, is defined at the surface of the particle

(X = 1). The average state-of-charge, SOC<sub>average</sub>, is defined by integrating over the volume of the particle, appropriately considering spherical coordinates, and is defined as

$$SOC_{average} = \frac{\int_{0}^{1} SOC 4 \Pi X^{2} dX}{\int_{0}^{1} 4 \Pi X^{2} dX} = 3 \int_{0}^{1} SOC X^{2} dX$$
(1)

The lumped voltage loss associated with concentration overpotential is defined as,

$$\eta_{\text{conc}} = E_{\text{OCV}}(\text{SOC}_{\text{surface}}) - E_{\text{OCV}}(\text{SOC}_{\text{average}})$$

## CELL POTENTIAL AND PARAMETER ESTIMATION

Finally, the battery cell voltage  $E_{cell}$  is defined as

$$E_{\text{cell}} = E_{\text{OCV}}(\text{SOC}_{\text{average}}) + \eta_{\text{IR}} + \eta_{\text{act}} + \eta_{\text{conc}}$$

Introducing the expression for  $\eta_{conc}$ ,  $E_{cell}$  can also be defined as

$$E_{\text{cell}} = E_{\text{OCV}}(\text{SOC}_{\text{surface}}) + \eta_{\text{IR}} + \eta_{\text{act}}$$

The tutorial consists of three parts. In the first part, a lumped battery model (of capacity 12 Ah) is set up and run for a time-dependent battery current. In the second part, parameter estimation of the parameters  $\eta_{IR, 1C}$ ,  $\tau$  and  $J_0$ , is performed using experimental data. This is done using the Global Least-Squares Objective node in the Optimization interface, in combination with the Optimization study step using a Levenberg-Marquardt optimization solver. Note, the second part of the tutorial requires the Optimization module. In the third part, cell voltage prediction is performed using the optimized lumped parameter values that were obtained in the previous parameter estimation study, and compared with experimental data. The first two studies use a 300 s load cycle. The third prediction study uses a full load cycle with additional 300 s.

# Results and Discussion

Figure 1 shows the modeled cell voltage using the fitted parameter values from Table 2 together with the experimental cell voltage and the corresponding open circuit voltage, for the 300 s load cycle.

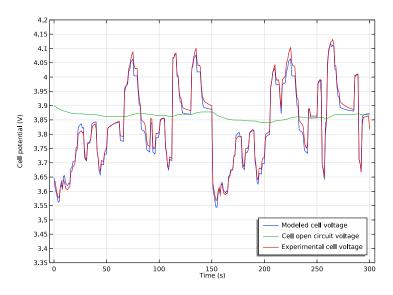


Figure 1: Modeled cell voltage using the fitted parameter values, experimental cell voltage, and corresponding open circuit voltage, for the 300 s load cycle.

PARAMETER	VALUE	UNIT
$\eta_{IR, 1C}$	4.5	mV
$J_0$	1.16	1
τ	1375	s

TABLE 2: FITTED PARAMETER VALUES.

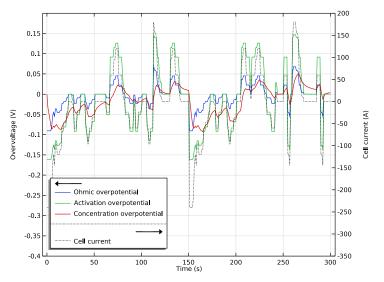


Figure 2 shows the ohmic, activation and concentration related voltage losses for the 300 s load cycle using the fitted parameter values.

Figure 2: Ohmic, charge transfer and concentration voltage losses using the fitted parameter values, for the 300 s load cycle. Corresponding cell current load shown on second y-axis.

Figure 3 shows the predicted cell voltage using the optimized parameter values from Table 2 together with the experimental cell voltage and the corresponding open circuit voltage, for the full 600 s load cycle. (Note that the first half (300 s) of the predicted cell voltage is exactly similar to Figure 1, as expected).

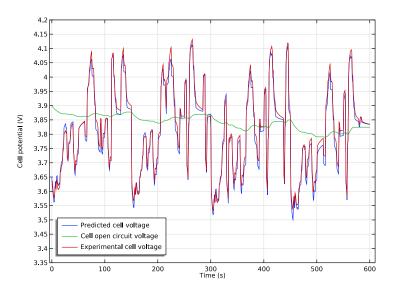


Figure 3: Predicted cell voltage using the optimized parameter values, experimental cell voltage, and corresponding open circuit voltage, for the full 600 s load cycle.

STUDY	STANDARD DEVIATION	
Load curve simulation	0.031	
Parameter estimation	0.015	
Full load curve prediction	0.014	

The standard deviation values of the modeled cell voltage from the experimental values for all the three studies is shown in Table 3. The standard deviation value of first Load curve simulation study is high, as expected, considering that default values of the lumped parameters are used to simulate the cell voltage. The standard deviation value of the second Parameter estimation study and the third Full load curve prediction study are much lower and nearly the same. (Note that the standard deviation calculation of the prediction study uses only the latter half of the full load cycle). The nearly identical standard deviation values of the second and third study indicate that the quality of prediction will be only as good as the quality of parameter estimation and optimization.

# Notes About the COMSOL Implementation

In the model, the inverse of  $J_0$ , invJ0, is used as fitting parameter. This is done in order to avoid division by 0 in the activation overpotential expression during the optimization process.

## Reference

1. H. Ekström, B. Fridholm, and G. Lindbergh, "Comparison of lumped diffusion models for voltage prediction of a lithium-ion battery cell during dynamic loads," *J. Power Sources*, vol. 402, pp. 296–300, 2018.

**Application Library path:** Battery\_Design\_Module/Batteries,\_Lithium-Ion/lumped\_li\_battery\_parameter\_estimation

# Modeling Instructions

This tutorial consists of three parts. In the first part you will learn how to build a lumped battery model and run a simulation for a time-dependent battery current. In the second part you will perform parameter estimation using experimental data. The second part of the tutorial requires the Optimization Module. In the third part, you will perform a prediction study using the optimized lumped parameter values that were obtained in the previous parameter estimation study, and compare with experimental data.

From the File menu, choose New.

# NEW

In the New window, click 🙅 Model Wizard.

## MODEL WIZARD

- I In the Model Wizard window, click 0D.
- 2 In the Select Physics tree, select Electrochemistry>Batteries>Lumped Battery (lb).
- 3 Click Add.
- 4 Click 🔿 Study.
- 5 In the Select Study tree, select General Studies>Time Dependent.
- 6 Click **M** Done.

#### **GLOBAL DEFINITIONS**

## Parameters 1

Import the model parameters from a text file.

- I In the Model Builder window, under Global Definitions click Parameters I.
- 2 In the Settings window for Parameters, locate the Parameters section.
- 3 Click 📂 Load from File.
- **4** Browse to the model's Application Libraries folder and double-click the file lumped\_li\_battery\_parameter\_estimation\_parameters.txt.

#### DEFINITIONS

The battery current and the experimental cell voltage are time-dependent. Therefore you need to define these as variables. (The experimental cell voltage variable will only be used during postprocessing.)

## Variables I

- I In the Model Builder window, under Component I (compl) right-click Definitions and choose Variables.
- 2 In the Settings window for Variables, locate the Variables section.
- 3 Click 📂 Load from File.
- **4** Browse to the model's Application Libraries folder and double-click the file lumped\_li\_battery\_parameter\_estimation\_variables.txt.

The expressions in the variable list are marked in orange, indicating unknown operators and functions. You will now proceed to add the missing interpolation function for the cell voltage and current versus time.

## RESULTS

We will import the battery load and experimental cell voltage data as a table, and use this table both for defining time-dependent battery current and experimental voltage functions, as well as for the objective function used in the second part of the tutorial.

#### Load Cycle Data

- I In the Model Builder window, expand the Results node.
- 2 Right-click **Results>Tables** and choose **Table**.
- 3 In the Settings window for Table, type Load Cycle Data in the Label text field.
- 4 Locate the Data section. Click Import.

5 Browse to the model's Application Libraries folder and double-click the file lumped\_li\_battery\_parameter\_estimation\_E\_I\_vs\_t\_data.txt.

# TABLE

I Go to the Table window.

The data file contains three different columns: Time, Current and Voltage.

## DEFINITIONS

Interpolation - E and I vs. t

- I In the Home toolbar, click f(X) Functions and choose Global>Interpolation.
- 2 In the Settings window for Interpolation, type Interpolation E and I vs. t in the Label text field.
- 3 Locate the Definition section. From the Data source list, choose Result table.

(Note that, instead of using the table, you could have imported the data file directly here too.)

**4** Find the **Functions** subsection. In the table, enter the following settings:

Function name	Position in file
E_cell_exp	1
I_cell_exp	2

5 Locate the Units section. In the Arguments text field, type s.

## LUMPED BATTERY (LB)

You will now start defining the battery model.

- I In the Model Builder window, under Component I (compl) click Lumped Battery (lb).
- 2 In the Settings window for Lumped Battery, locate the Operation Mode section.
- **3** In the *I*<sub>app</sub> text field, type **I\_cell\_exp**.
- 4 Locate the Battery Settings section. In the  $Q_{cell,0}$  text field, type Q\_cell0.
- **5** In the SOC<sub>cell.0</sub> text field, type SOC\_0.

Q\_cellO and SOC\_O were defined in the parameter text file you imported before.

(The **Battery volume** parameter is only used to calculate the heat source, in the unit  $W/m^3$ , and is not needed in this model.)

## Cell Equilibrium Potential I

Load the open circuit voltage data at the reference temperature from a text file. Note that in this model the reference temperature is same as the simulation temperature.

- I In the Model Builder window, under Component I (compl)>Lumped Battery (lb) click Cell Equilibrium Potential I.
- **2** In the Settings window for Cell Equilibrium Potential, locate the Open Circuit Voltage section.
- 3 Click **Clear Table**.

Note that it is important to clear the table before loading data from the text file.

- 4 Click *b* Load from File.
- 5 Browse to the model's Application Libraries folder and double-click the file lumped\_li\_battery\_parameter\_estimation\_E\_OCP\_data.txt.
- **6** In the  $T_{\rm ref}$  text field, type T.

Note that in this node you may also add data for the temperature derivative of open circuit voltage, that is used to calculate the temperature dependence of the open circuit voltage. Additionally, this data is used in the calculation of the reversible (entropic) contribution and heat of mixing contribution to the total heat source. However, this data is not needed in this model.

#### Voltage Losses 1

Keep the default values for the **Voltage Losses** parameters for now, but enable also the concentration overpotential.

- I In the Model Builder window, click Voltage Losses I.
- 2 In the Settings window for Voltage Losses, locate the Concentration Overpotential section.
- **3** Select the **Include concentration overpotential** check box.

## STUDY I - LOAD CURVE SIMULATION

The battery model is now ready for solving.

- I In the Model Builder window, click Study I.
- 2 In the Settings window for Study, type Study 1 Load Curve Simulation in the Label text field.

#### Step 1: Time Dependent

I In the Model Builder window, under Study I - Load Curve Simulation click Step I: Time Dependent.

- 2 In the Settings window for Time Dependent, locate the Study Settings section.
- 3 In the **Output times** text field, type range(0,1,300).

The above setting tells the solver to run a simulation for 300 s and store the solution every second.

- 4 From the Tolerance list, choose User controlled.
- 5 In the **Relative tolerance** text field, type 0.001.
- 6 In the Home toolbar, click **=** Compute.

## RESULTS

## Cell Voltage

A number of plots were created by default. You will now modify the first plot to compare the modeled cell voltage with the experimental data.

- I In the Settings window for ID Plot Group, type Cell Voltage in the Label text field.
- 2 Click to expand the Title section. From the Title type list, choose None.
- 3 Locate the Plot Settings section. Clear the Two y-axes check box.
- 4 Locate the Legend section. From the Position list, choose Lower right.

## Global I

- I In the Model Builder window, expand the Cell Voltage node, then click Global I.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

Expression	Unit	Description
lb.E_cell	V	Modeled cell voltage

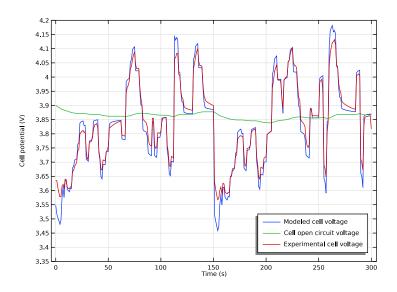
Global 3

- I In the Model Builder window, click Global 3.
- In the Settings window for Global, click Replace Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Definitions> Variables>E\_cell\_exp Experimental cell voltage V.

## Cell Voltage

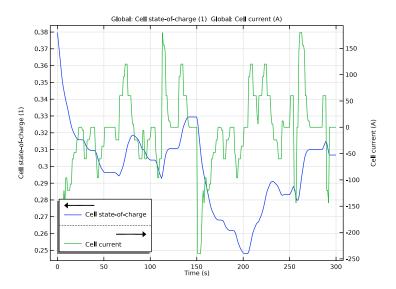
- I In the Model Builder window, click Cell Voltage.
- 2 In the Settings window for ID Plot Group, locate the Axis section.
- **3** Select the **Manual axis limits** check box.
- 4 In the x maximum text field, type 305.

- **5** In the **y minimum** text field, type **3.35**.
- 6 In the **y maximum** text field, type 4.2.



7 In the Cell Voltage toolbar, click 💽 Plot.

*Cell State-of-Charge (lb)* Also a state-of-charge versus time plot was created by default:



#### Voltage Losses and Load

Proceed as follows to create a plot that compares the different voltage losses in the model:

- I In the Home toolbar, click 🚛 Add Plot Group and choose ID Plot Group.
- 2 In the Settings window for ID Plot Group, type Voltage Losses and Load in the Label text field.

## Global I

- I Right-click Voltage Losses and Load and choose Global.
- 2 In the Settings window for Global, click Replace Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Lumped Battery> Overpotentials>lb.eta\_ir - Ohmic overpotential - V.
- 3 Click Add Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Lumped Battery>Overpotentials>Ib.eta\_act Activation overpotential V.
- 4 Click Add Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Lumped Battery>Overpotentials>Ib.eta\_conc -Concentration overpotential - V.
- 5 Locate the x-Axis Data section. From the Parameter list, choose Expression.
- 6 In the Expression text field, type t.

## Global 2

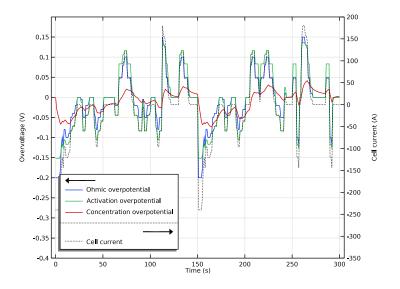
- I In the Model Builder window, right-click Voltage Losses and Load and choose Global.
- In the Settings window for Global, click Replace Expression in the upper-right corner of the y-Axis Data section. From the menu, choose Component I (compl)>Lumped Battery> lb.l\_cell Cell current A.
- 3 Locate the x-Axis Data section. From the Parameter list, choose Expression.
- 4 In the Expression text field, type t.
- 5 Click to expand the Coloring and Style section. Find the Line style subsection. From the Line list, choose Dotted.
- 6 From the Color list, choose Black.

#### Voltage Losses and Load

- I In the Model Builder window, click Voltage Losses and Load.
- 2 In the Settings window for ID Plot Group, locate the Title section.
- **3** From the **Title type** list, choose **None**.
- 4 Locate the Plot Settings section. Select the y-axis label check box.

- **5** In the associated text field, type **Overvoltage** (V).
- 6 Select the Two y-axes check box.
- 7 In the table, select the Plot on secondary y-axis check box for Global 2.
- 8 Locate the Axis section. Select the Manual axis limits check box.
- 9 In the x maximum text field, type 305.
- **IO** In the **y minimum** text field, type -0.4.
- II In the **y maximum** text field, type 0.2.
- 12 In the Secondary y minimum text field, type 350.
- **I3** In the **Secondary y maximum** text field, type 200.
- 14 Locate the Legend section. From the Position list, choose Lower left.

**I5** In the **Voltage Losses and Load** toolbar, click **O Plot**.



## COMPONENT I (COMPI)

The first part of the tutorial is now complete. In the second part you will learn how to run an optimization solver to perform an estimation of the different voltage loss parameters. For the second part you need an Optimization Module license.

## ADD PHYSICS

- I In the Home toolbar, click 🙀 Add Physics to open the Add Physics window.
- 2 Go to the Add Physics window.

- 3 In the tree, select Mathematics>Optimization and Sensitivity>General Optimization (opt).
- 4 Click Add to Component I in the window toolbar.
- 5 In the Home toolbar, click 🙀 Add Physics to close the Add Physics window.

## **GENERAL OPTIMIZATION (OPT)**

#### Global Least-Squares Objective 1

I Right-click Component I (comp1)>General Optimization (opt) and choose Global Least-Squares Objective.

The **Global Least-Squares** node is used to construct the objective function that is to be minimized by the optimization solver. The objective function in this case will equal the sum of the squared differences between the modeled and the experimental cell voltages, for all stored times in the data.

- **2** In the **Settings** window for **Global Least-Squares Objective**, locate the **Experimental Data** section.
- 3 From the Data source list, choose Result table.

(Note that you can also import a data file directly here instead of using the table.)

- 4 From the Result table list, choose Load Cycle Data.
- **5** From the **Time column** list, choose **Time (s)**.
- 6 In the table, enter the following settings:

Use	Data column	Unit	Model expression	Weight
	Voltage (V)	V	lb.E_cell	1
	Current (A)		0	I

The Model expression tells what value in the model the data corresponds to.

#### LUMPED BATTERY (LB)

Voltage Losses 1

- I In the Model Builder window, under Component I (compl)>Lumped Battery (lb) click Voltage Losses I.
- 2 In the Settings window for Voltage Losses, locate the Model Input section.
- **3** In the T text field, type T.

Now change the default values for the voltage losses to use values defined in the **Parameters** node instead.

4 Locate the Ohmic Overpotential section. In the  $\eta_{IR,1C}$  text field, type eta\_IR\_1C.

- **5** Locate the **Activation Overpotential** section. In the  $J_0$  text field, type J0.
- 6 Locate the Concentration Overpotential section. In the  $\tau$  text field, type tau.

## ROOT

The next step is to set up the optimization solver used for the parameter estimation. We will do this in a new study node.

#### ADD STUDY

- I In the Home toolbar, click 2 Add Study to open the Add Study window.
- 2 Go to the Add Study window.
- 3 Find the Studies subsection. In the Select Study tree, select General Studies> Time Dependent.
- 4 Click Add Study in the window toolbar.
- 5 In the Home toolbar, click 2 Add Study to close the Add Study window.

## **STUDY 2 - PARAMETER ESTIMATION**

- I In the Model Builder window, click Study 2.
- 2 In the Settings window for Study, type Study 2 Parameter Estimation in the Label text field.
- 3 Locate the Study Settings section. Clear the Generate default plots check box.

## Optimization

I In the Study toolbar, click of Optimization.

The Levenberg-Marquardt is suitable for global least-squares problems.

- 2 In the Settings window for Optimization, locate the Optimization Solver section.
- 3 From the Method list, choose Levenberg-Marquardt.
- **4** Find the **Solver settings** subsection. From the **Least-squares time/parameter method** list, choose **From least-squares objective**.

Now define what parameters (control variables) we should run the parameter estimation for:

- 5 Locate the Control Variables and Parameters section. Click + Add.
- 6 Click + Add.

## 7 Click + Add.

There should now be three control variables present in the table. In order to improve the optimization you need to provide suitable scales for these (the **Scale** column in the table).

8 In the table, enter the following settings:

Parameter name	Initial value	Scale	Lower bound	Upper bound
eta_IR_IC (Ohmic overpotential at IC, fitting parameter)	10[mV]	0.01		
invJ0 (Inverse dimensionless charge exchange current, fitting parameter)	1	1		
tau (Diffusion time constant, fitting parameter)	1000[s]	1000		

(The Lower/Upper bound columns are not supported by the Levenberg-Marquardt solver, but other solver (such as SNOPT) allow you to put bounds on the control variables during the optimization.)

## **DEFINITIONS (COMPI)**

## Global Variable Probe 1 (var1)

By adding probes for the fitting parameters (the control variables) you can monitor how these change during the optimization.

- I In the Model Builder window, under Component I (compl) right-click Definitions and choose Global Variable Probe.
- 2 In the Settings window for Global Variable Probe, locate the Expression section.
- **3** In the **Expression** text field, type eta\_IR\_1C.

Global Variable Probe 2 (var2)

- I Right-click Definitions and choose Global Variable Probe.
- 2 In the Settings window for Global Variable Probe, locate the Expression section.
- 3 In the Expression text field, type invJ0.

Global Variable Probe 3 (var3)

- I Right-click Definitions and choose Global Variable Probe.
- 2 In the Settings window for Global Variable Probe, locate the Expression section.
- 3 In the **Expression** text field, type tau.

#### **STUDY 2 - PARAMETER ESTIMATION**

The parameter estimation problem is now ready for solving. Since the model will run multiple times in order to find the minimum of the objective function, this computation will take a little longer (about a minute) to run than the first study.

I In the **Study** toolbar, click **= Compute**.

#### RESULTS

Change what data the plots are pointing to in order to plot the results of the parameter estimation study (Figure 1 and Figure 2).

#### Cell Voltage

- I In the Model Builder window, under Results click Cell Voltage.
- 2 In the Settings window for ID Plot Group, locate the Data section.
- 3 From the Dataset list, choose Study 2 Parameter Estimation/Solution 2 (sol2).
- 4 In the Cell Voltage toolbar, click **I** Plot.

#### Voltage Losses and Load

- I In the Model Builder window, click Voltage Losses and Load.
- 2 In the Settings window for ID Plot Group, locate the Data section.
- 3 From the Dataset list, choose Study 2 Parameter Estimation/Solution 2 (sol2).
- **4** In the **Voltage Losses and Load** toolbar, click **O Plot**.

## **STUDY 2 - PARAMETER ESTIMATION**

The cell voltage plot can be set as output while solving to monitor the optimization process in the graphics window during computation.

#### Optimization

- I In the Model Builder window, under Study 2 Parameter Estimation click Optimization.
- 2 In the Settings window for Optimization, locate the Output While Solving section.
- **3** Select the **Plot** check box.

You may now try to recompute the solution to see how the experimental and model cell voltage curves approach each other during optimization.

## RESULTS

The second part of the tutorial is now complete. The final part is to set up a new study for cell voltage prediction. Note that the previous two studies used a 300 s load cycle data. For the prediction study, a full load cycle with additional 300 s will be used. First, we will

import the full load cycle data consisting of the battery load and experimental cell voltage data as a table, as before, and use this table for defining time-dependent battery current and experimental voltage functions. (Note that the initial 300 s of the full load cycle data is exactly identical to the load cycle data imported for the previous study).

## Full Load Cycle Data

- I In the **Results** toolbar, click **Table**.
- 2 In the Settings window for Table, type Full Load Cycle Data in the Label text field.
- 3 Locate the Data section. Click Import.
- 4 Browse to the model's Application Libraries folder and double-click the file lumped\_li\_battery\_parameter\_estimation\_E\_I\_vs\_t\_fulldata.txt.

## TABLE

I Go to the Table window.

This data file also contains three different columns: Time, Current and Voltage, as before.

## **DEFINITIONS (COMPI)**

Interpolation - E and I vs. t (full)

- I In the Home toolbar, click f(X) Functions and choose Global>Interpolation.
- 2 In the Settings window for Interpolation, type Interpolation E and I vs. t (full) in the Label text field.
- 3 Locate the Definition section. From the Data source list, choose Result table.
- 4 From the Table from list, choose Full Load Cycle Data.
- 5 Find the Functions subsection. In the table, enter the following settings:

Function name	Position in file
E_cell_exp_full	1
I_cell_exp_full	2

6 Locate the Units section. In the Arguments text field, type s.

#### Variables 1

Next, we will define variables corresponding to the time-dependent battery current and the experimental cell voltage of the full load cycle. (The experimental cell voltage variable will only be used during postprocessing.)

## Variables 2

- I In the Model Builder window, under Component I (comp1)>Definitions right-click Variables I and choose Duplicate.
- 2 In the Settings window for Variables, locate the Variables section.
- **3** In the table, enter the following settings:

Name	Expression	Unit	Description
I_cell_exp	I_cell_exp_full(t)[A ]	A	Experimental cell current - full load cycle
E_cell_exp	E_cell_exp_full(t)[V ]	V	Experimental cell voltage - full load cycle

## ROOT

Add a new time-dependent study for prediction of the full 600 s load cycle. Modify the model configuration for this study step to disable **Variables I** (that consists of variables corresponding to the 300 s load cycle) and the Optimization physics interface. Also, set up the study to use the optimized lumped parameter values from the previous parameter estimation study.

#### ADD STUDY

- I In the Home toolbar, click  $\sim_1^{\circ}$  Add Study to open the Add Study window.
- 2 Go to the Add Study window.
- 3 Find the Studies subsection. In the Select Study tree, select General Studies> Time Dependent.
- 4 Click Add Study in the window toolbar.
- 5 In the Home toolbar, click Add Study to close the Add Study window.

## STUDY 3 - FULL LOAD CURVE PREDICTION

- I In the Model Builder window, click Study 3.
- 2 In the Settings window for Study, type Study 3 Full Load Curve Prediction in the Label text field.

#### Step 1: Time Dependent

- I In the Model Builder window, under Study 3 Full Load Curve Prediction click Step I: Time Dependent.
- 2 In the Settings window for Time Dependent, locate the Study Settings section.

- 3 In the **Output times** text field, type range(0,1,600).
- 4 From the Tolerance list, choose User controlled.
- **5** In the **Relative tolerance** text field, type **0.001**.
- 6 Locate the Physics and Variables Selection section. Select the Modify model configuration for study step check box.
- 7 In the Physics and variables selection tree, select Component I (compl)>Definitions> Variables I.
- 8 Click 🕢 Disable.
- 9 In the Physics and variables selection tree, select Component I (compl)> General Optimization (opt).
- **IO** Click (**)** Disable in Solvers.
- II Click to expand the Values of Dependent Variables section. Find the Initial values of variables solved for subsection. From the Settings list, choose User controlled.
- 12 Find the Values of variables not solved for subsection. From the Settings list, choose User controlled.
- **I3** From the **Method** list, choose **Solution**.
- 14 From the Study list, choose Study 2 Parameter Estimation, Time Dependent.
- IS In the Model Builder window, click Study 3 Full Load Curve Prediction.
- 16 In the Settings window for Study, locate the Study Settings section.
- **I7** Clear the **Generate default plots** check box.

Before we compute the prediction study, it may be useful, for completeness, to update the model configuration for the previous two study steps to disable **Variables2** (that consists of variables corresponding to the 600 s full load cycle). Also disable the Optimization physics interface in the first study.

## STUDY I - LOAD CURVE SIMULATION

- Step 1: Time Dependent
- I In the Model Builder window, under Study I Load Curve Simulation click Step I: Time Dependent.
- 2 In the Settings window for Time Dependent, locate the Physics and Variables Selection section.
- **3** Select the Modify model configuration for study step check box.

- 4 In the Physics and variables selection tree, select Component 1 (comp1)>Definitions> Variables 2.
- 5 Click 🖉 Disable.
- 6 In the Physics and variables selection tree, select Component I (compl)> General Optimization (opt).
- 7 Click (**Disable in Solvers**.

## **STUDY 2 - PARAMETER ESTIMATION**

#### Step 1: Time Dependent

- I In the Model Builder window, under Study 2 Parameter Estimation click Step I: Time Dependent.
- 2 In the Settings window for Time Dependent, locate the Physics and Variables Selection section.
- **3** Select the Modify model configuration for study step check box.
- 4 In the Physics and variables selection tree, select Component I (compl)>Definitions> Variables 2.
- 5 Click 🖉 Disable.

## STUDY 3 - FULL LOAD CURVE PREDICTION

In the **Home** toolbar, click  $\equiv$  **Compute**.

## RESULTS

The results of the prediction study (Figure 3) can be plotted by duplicating the **Cell Voltage** figure.

Cell Voltage: Full Cycle Prediction

- I In the Model Builder window, right-click Cell Voltage and choose Duplicate.
- 2 In the Settings window for ID Plot Group, type Cell Voltage: Full Cycle Prediction in the Label text field.
- 3 Locate the Data section. From the Dataset list, choose Study 3 Full Load Curve Prediction/Solution 3 (sol3).
- 4 Locate the Axis section. In the x maximum text field, type 610.
- 5 Locate the Legend section. From the Position list, choose Lower left.

#### Global I

- I In the Model Builder window, expand the Cell Voltage: Full Cycle Prediction node, then click Global I.
- 2 In the Settings window for Global, locate the y-Axis Data section.
- **3** In the table, enter the following settings:

Expression	Unit	Description
lb.E_cell	V	Predicted cell voltage

4 In the Cell Voltage: Full Cycle Prediction toolbar, click 🗿 Plot.

Global Evaluation: Standard Deviation (Study I)

Finally, you can set up global evaluations for calculating the standard deviation of the modeled cell voltage from the experimental values for all the three studies as follows:

- I In the Results toolbar, click (8.5) Global Evaluation.
- 2 In the Settings window for Global Evaluation, type Global Evaluation: Standard Deviation (Study1) in the Label text field.
- **3** Locate the **Expressions** section. In the table, enter the following settings:

Expression	Unit	Description
lb.E_cell-E_cell_exp	V	

- 4 Locate the Data Series Operation section. From the Operation list, choose Standard deviation.
- 5 Click **=** Evaluate.

Global Evaluation: Standard Deviation (Study2)

- I Right-click Global Evaluation: Standard Deviation (Study I) and choose Duplicate.
- 2 In the Settings window for Global Evaluation, type Global Evaluation: Standard Deviation (Study2) in the Label text field.
- 3 Locate the Data section. From the Dataset list, choose Study 2 Parameter Estimation/ Solution 2 (sol2).
- 4 Click **= Evaluate**.

#### Global Evaluation: Standard Deviation (Study3)

- I Right-click Global Evaluation: Standard Deviation (Study2) and choose Duplicate.
- 2 In the Settings window for Global Evaluation, type Global Evaluation: Standard Deviation (Study3) in the Label text field.

3 Locate the Data section. From the Dataset list, choose Study 3 Full Load Curve Prediction/Solution 3 (sol3).

You can choose only the latter half of the full load cycle to obtain the standard deviation of the prediction study.

- **4** From the **Time selection** list, choose **Manual**.
- 5 In the Time indices (1-601) text field, type range(302,1,601).
- 6 Click **= Evaluate**.

# 26 | PARAMETER ESTIMATION OF A TIME-DEPENDENT LUMPED BATTERY MODEL